

Applicants: Kim et al. Examiner: Doty, Heather Anne  
 Serial No.: 10/676,336 Group Art Unit: 2813  
 Filed: October 1, 2003 Docket: 8836-199 (IB12118-US)  
 For: METHOD OF FORMING SEMICONDUCTOR DEVICE WITH CAPACITOR

## MAIL STOP AF

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR	ADDIT. RATE	FEE
TOTAL	10*	MINUS	20**		= 0	X	25	\$ 0	X	50 \$ 0
INDEP.	2*	MINUS	3***		= 0	X	100	\$ 0	X	200 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						X	180	\$	X	360 \$ 0
						TOTAL		OR TOTAL		
						ADDIT. FEE		\$ 0		

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

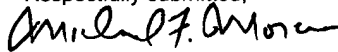
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (TOTAL or INDEP.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. \_\_\_\_ in the amount of \$ \_\_\_\_ . Two (2) copies of this sheet are enclosed.  
☐ Please charge fee of \$ \_\_\_\_ for \_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

F. CHAU & ASSOCIATES  
 130 Woodbury Rd  
 Woodbury, NY 11797  
 Tel: (516) 692-8888  
 Fax: (516) 692-8889

Respectfully submitted,



Michael F. Morano  
 Reg. No. 44,952  
 Attorney for Applicant

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-4150 on **October 3, 2005**.

Dated: October 3, 2005
  
 Michael F. Morano



RESPONSE UNDER 37 CFR 1.116  
-EXPEDITED PROCEDURE-  
EXAMINING GROUP ART UNIT 2813

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kim et al. Examiner: Doty, Heather Anne  
Serial No: 10/676,336 Group Art Unit: 2813  
Filed: October 1, 2003 Docket: 8836-199 (IB12118-US)  
  
For: **METHOD OF FORMING SEMICONDUCTOR DEVICE WITH  
CAPACITOR**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

This Amendment is submitted in response to the August 2, 2005 Final Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the August 2, 2005 Office Action is due on November 2, 2005. Therefore, this Amendment is being timely filed.

---

**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on October 3, 2005.

Dated: October 3, 2005

  
Michael F. Morano